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Attorney Docket No. 980689/HG

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Shinichi KURAKATA et al

Serial No. : 09/212,556

Filed : December 16, 1998

For : METHODS AND COMPOSITIONS  
FOR THE TREATMENT AND  
PREVENTION OF TUMORS...

Dated: October 19, 1999

Art Unit : 1614

Examiner : Howard Owens, Jr.

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents

S I R :

A copy of each of the publications identified in the enclosed form PTO-1449 is enclosed herewith. Some, but not all, of the publications identified on the enclosed form PTO-1449 are identified in the present specification, pages 2 and 3.

The patent family relationship between each of the identified United States patents and foreign patent publications is set forth in the table below.

U.S. Patents

Foreign Patent Publications

5,932,598

WO 97/38986

5,908,858

EP 799,823 A

5,380,738

WO 94/27980

5,633,272

WO 96/25405

5,616,601

WO 96/03388

5,620,999

WO 96/03387

U.S. Patents

5,486,534

5,344,991

5,418,254

5,521,207

5,474,995

Foreign Patent Publications

WO 96/03385

WO 95/11883

WO 95/30656

WO 95/15316


WO 95/00501

Consideration of the publications identified on the enclosed form PTO-1449 is solicited.

No PTO fee is required because this paper will be filed before the first Office Action on the merits. This statement is made based on a telephone conversation with Examiner Owens who stated that he would shortly issue a restriction requirement. Because of the large volume of publications, this paper and the attachments will be hand delivered to the Examining Group and a telephone call will be placed to Examiner Owens informing him of this.

Consideration is again solicited for the INFORMATION DISCLOSURE STATEMENT AND STATEMENT UNDER 37 CFR 1.97(e) and the publications identified on the Form PTO-1449 attached thereto (all of which were filed on June 28, 1999).

Respectfully submitted,

  
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